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THE EFFECT OF TRACK UNEVENNESS CORRELATION ON RAILWAY INDUCED GROUND VIBRATION

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Abstract. This paper focuses on quantifying the level of correlation of the track loading at the wheel/rail interface due to rail unevenness and its influence on predictions of ground vibration. The extent to which the unevenness of the two rails is correlated has been estimated from measurements of track geometry obtained with track recording vehicles for six different tracks. It was found that for wavelengths longer than about 3 m the unevenness of the two rails can be considered to be strongly correlated and in phase. To investigate the effect of this on ground vibration, a railway model that works in the wavenumber-frequency domain and includes separate inputs on the two rails has been used. In this model, the track is assumed as an infinite invariant linear structure resting on an elastic stratified half-space. This is excited by the gravitational loading of a passing train and the irregularity of the contact surfaces between the wheels and the rails. The railway model can account or discard the effect of load correlations on the two rails beside the effects of variation of the tractions across the width of the track-ground interface and the vehicle sprung mass, as well as the roll motion of the sleepers and the axle. A comparative analysis is carried out on the influence of these factors on the response predictions using numerical simulations. It is shown that, when determining the vibration in the free field, it is important to include in the model the non-symmetrical loading at the two rails that occurs for unevenness wavelengths shorter than about 3 m.

1 INTRODUCTION

An important environmental impact of existing and future railway lines occurs due to the ground-borne vibration induced by the trains; this is an issue both for trains running on surface railways and for trains in tunnels. Ground-borne vibration from railways is generated at the wheel-rail interface due to the passage of individual wheel loads along the track (quasistatic loading) and due to dynamic interaction forces caused by irregularities of the wheels and tracks (dynamic loading) [1]. The vibration generated propagates through the ground to nearby buildings where it may cause annoyance to people or malfunctioning of sensitive equipment. Inhabitants of the buildings perceive the vibration either directly, due to motion of floors and walls, or indirectly as re-radiated noise.

Since neither the wheel nor the rail running surfaces are entirely smooth, their unevenness causes the wheel and rail to move vertically relative to one another. Thus, dynamic forces are generated at the wheel/rail contacts by the combined irregular profile of the rail and wheel running surfaces and these forces can lead to wave propagation in the ground. For the frequency range 2-80 Hz, typically of interest for the perception of ground vibration and 20-250 Hz for the perception of ground-borne noise, and a train speed range of 10-100 m/s (36-360 km/h), the corresponding wavelengths of the vertical unevenness lie within the range 0.04 to 50 m (or wavenumbers from 0.12 to 160 rad/m). When considering the rail surface, at wavelengths less than about 1 m this vertical unevenness is most commonly caused by irregular wear or corrugation of the rail contact surface, whereas at much longer wavelengths it is due to undulations in the track bed. On the wheels, short wavelength unevenness is again caused by wear whereas discrete wavelengths up to about 3 m are present due to out-of-roundness. Additionally, dynamic forces are generated as impacts as the wheels traverse switches and crossings or badly maintained rail joints [2-3].

A simplifying practice commonly used in most models for ground-borne vibration is that the forces generated at the wheel-rail interface are assumed to be identical (and in-phase) for the two rails [e.g. 4-10]. This assumption imply that the unevenness of the two rails is identical and thus may be treated as a fully correlated input on the two rails. This seems reasonable for wavelengths much longer than around 1.2 m, which is twice the typical sleeper or fastener spacing, as the unevenness in this range corresponds to the track geometry. Consequently, this assumption can lead in further simplification of the track model by using a single beam to represent both rails and considering the wheelset as a single mass [5, 7, 10]. However, at shorter wavelengths, as used in models that predict rolling noise, the excitation on each rail should be treated independently and the overall response determined by assuming that the roughness on both rails is uncorrelated. Thus the mean-square responses, due to separate excitation on the two rails, should be added [1].

Measurements of track geometry that can include very long wavelengths are obtained from track recording coaches (TRC) and are principally used to determine the need for track maintenance such as tamping of the ballast [11]. These systems measure the longitudinal profile of both rails, usually under loaded conditions. The minimum wavelength measured is usually around 1 m. In contrast, hand-held accelerometer-based trolleys and mechanical displacement probes are used to measure shorter wavelength rail irregularities ("acoustic roughness") up to wavelengths of about 1 m in unloaded conditions [1]. These measure one rail at a time and therefore do not allow the correlation between the two rails to be determined. To cover the complete wavelength interval required, a combination of measurement methods is needed [12-13].

There is little information available about the correlation between the unevenness on two rails of a track or two wheels of a wheelset apart from a few examples in [14]. This paper

aims to identify the degree of correlation between the unevenness of the two rails and how it affects the vibration prediction in the free field when it is taken into account in the modelling. Therefore, track geometry measurements obtained with TRCs are shown for different operational railway tracks and the level of correlation between the unevenness of the two rails is investigated for the wavelength range 0.5-25 m. Moreover, in order to investigate and quantify the effects on the response predictions of ground-borne vibration caused by the level of correlation between the two rails, a surface railway model is used, describing the dynamic system of a ballasted (or slab) track on a layered elastic half-space and taking into account the traction variation across the track-ground interface. The rotation of the track and the wheelsets about the axial direction (roll) is included as well as the vertical motion, allowing the introduction of different unevenness on the two rails. Finally, the effect of treating the unevenness of the two rails as fully correlated, partially correlated or uncorrelated is illustrated by calculating and comparing the dynamic response predictions in the free-field for a range of model/excitation parameters

2 UNEVENNESS CORRELATION BETWEEN THE RAILS

To demonstrate the level of correlation between the unevenness of the two rails, example spectra of measured track geometry data are presented. The data has been obtained with track recording coaches (TRC) for six operational railway tracks. Details of these track sections are given in Table 1. The first is a typical mainline ballasted track [13], the second is a ballasted high speed line, the third is a typical suburban slab track in a tunnel, the fourth is a booted sleeper slab track section of a high speed line, the fifth is a freight line and the sixth is a freight line that is considered as not well maintained. In each case, as is standard practice with track geometry recording, the data had been high-pass filtered to remove wavelengths longer than 35 m. The data were segmented into 1024-point segments (204.8 m long for the first, second and forth track, 256 m for the other three) and power spectra and cross spectra estimates were obtained using 50% overlap and applying a Hamming window on the segments.

Track	Length (km)	Sample spacing (m)	Segment length (m)
Mainline ballasted track	10	0.2	204.8
High speed ballasted track	10	0.2	204.8
Suburban slab track	2.0	0.25	256
Booted sleeper high speed slab track	7.5	0.2	204.8
Freight line	1.2	0.25	256
Badly maintained freight line	3.3	0.25	256

Table 1: Details of the track sections for which measured data is presented.

Figure 1 shows the spectra of rail unevenness determined for both rails of each track section. These are expressed in one-third octave band form for ease of comparison. It can be seen that the rail unevenness level is similar for the two rails in each case while the high speed ballasted and slab tracks in Figures 1(b) and 1(d) respectively show lower unevenness levels than the mainline ballasted track in Figure 1(a) for wavelengths longer than 1 m. For the suburban slab track in Figure 1(c) the unevenness level is similar to the mainline ballasted track for the wavelength range 5-13 m but higher outside this range. The freight track in Figure 1(e) show

similar unevenness level with the mainline ballasted track for the wavelength range 1.5-13 m. For wavelengths shorter than 1.5 m the freight track show higher unevenness levels. It can also be seen that for the freight track in Figure 1(e) and for wavelengths longer than 2 m the unevenness level between the two rails start to diverge with the left rail showing up to 5 dB higher unevenness levels. As might be expected, the badly maintained freight track in Figure 1(f) seems to be in the poorest condition amongst the four tracks presented here, with levels of unevenness 10 dB higher in all one-third octave bands.

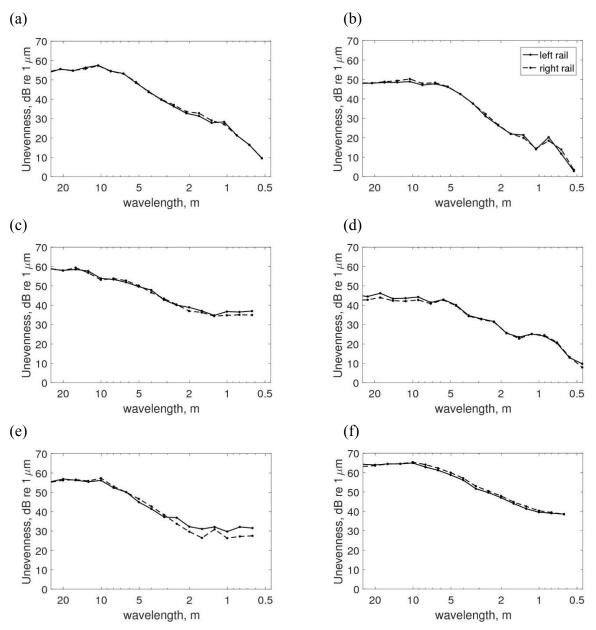


Figure 1: Measured unevenness spectrum in one third octave bands wavelength for (a) standard ballasted track [13], (b) high speed ballasted track, (c) standard slab track, (d) high speed slab track (e) freight track and (f) badly maintained freight track: (-) left and (--) right rail.

Figure 2 shows the coherence function between the left and right rail profiles. The coherence function between two signals x and y is a real-valued function that is defined as

$$C_{xy}(\lambda) = \frac{\left| P_{xy}(\lambda) \right|^2}{P_{xx}(\lambda) P_{yy}(\lambda)} \tag{1}$$

where $P_{xy}(\lambda)$ is the cross-spectral density between x and y, and $P_{xx}(\lambda)$ and $P_{yy}(\lambda)$ the autospectral densities of x and y respectively. This provides a spectral quantification of the correlation between the unevenness of the two rails.

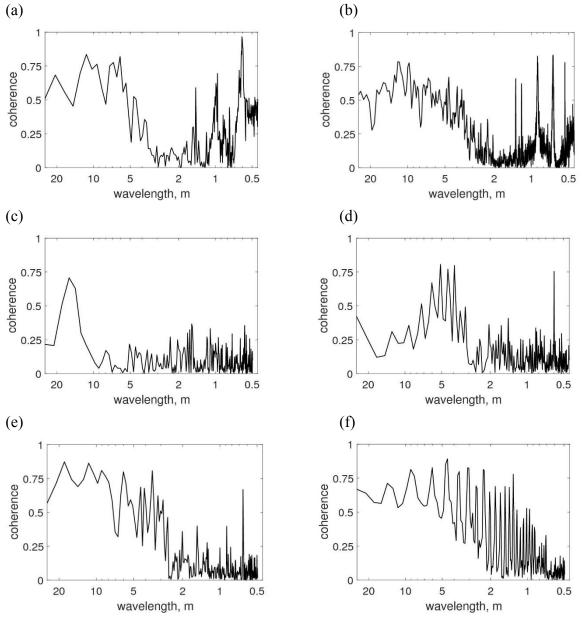


Figure 2: Coherence function for (a) standard ballasted track [13], (b) high speed ballasted track, (c) standard slab track, (d) high speed slab track (e) freight track and (f) badly maintained freight track: (-) left and (--) right rail.

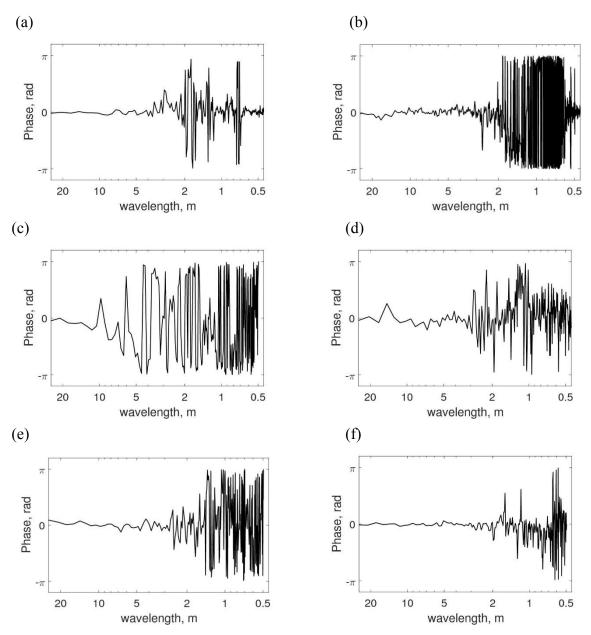


Figure 3: Relative phase between the rails unevenness for (a) standard ballasted track [13], (b) high speed ballasted track, (c) standard slab track, (d) high speed slab track (e) freight track and (f) badly maintained freight track: (—) left and (———) right rail.

The coherence in Figure 2(a) and (b), for the mainline ballasted track and the high speed ballasted track, is relatively high at long wavelengths, indicating that there is quite a strong correlation between the two rails. This occurs for wavelengths longer than about 3 m. A rise in the coherence can also be seen at a wavelength of about 0.6 m in each case which corresponds to the sleeper spacing due to the fact that the TRCs are measuring under loaded conditions. This peak is also visible for the booted sleeper high speed track in Figure 2(d) and for the freight track in Figure 2(e). Similar results have been presented in [14]. The overall coherence for the suburban slab track in Figure 2(c) and the high speed slab track in Figure 2(d) is much lower than for the other tracks. For the slab track in Figure 2(c) is high only at around 16 m, which is close to the typical length of rails historically used in the UK (18 m). For the case of the badly maintained freight track in Figure 2(f) sharp peaks occur in the coherence

function at certain wavelengths (9 m, 6 m, 4.5 m 3.6 m etc.) which correspond to the existence of rail joints every 18 m, which is a typical distance for UK tracks.

Figure 3 shows the relative phase between the left and right rail profiles, obtained from the phase of the cross-spectral density. This gives a reliable estimate of the relative phase only for wavelengths where the coherence is high. In each case, at wavelengths longer than about 3 m the unevenness of the two rails is in phase, apart from the suburban slab track in Figure 2(c) for which the coherence is low.

In general, from Figures 2 and 3, it seems reasonable in most cases to assume that unevenness wavelengths longer than about 3 m can be treated as correlated or partially correlated between the two rails and in phase. These components of low frequency excitation are relevant to ground-borne vibration and are often important for feelable vibration. Nevertheless, discrete features such as wheel flats or rail joints are likely to be strongly correlated between the two rails even for quite short wavelengths. Nonetheless, apart from this, wavelengths shorter than about 3 m should be treated as uncorrelated between the two rails. For train speeds 36-360 km/h, irregularities shorter than 3 m correspond to excitation frequencies above 3-30 Hz which are well within the ground-borne vibration frequency range of interest. The influence on the ground vibration level of the degree of correlation between the unevenness of the two rails will be investigated in Section 4 using simulated results. To achieve this a suitable model is used that is briefly presented in the next section.

3 WAVENUMBER-FREQUENCY DOMAIN TRAIN-TRACK-SOIL INTERACTION MODEL

To investigate the effects of correlation between the unevenness of the two rails, there is a need to consider the vehicle/track/soil interaction in more detail than is commonly used in the available frequency domain models. Accordingly, the model that is used in the current work which, as well as the vertical motion of the track and the wheelsets, their rotation about the axial direction is also taken into account by including both rails and both wheels of each axle separately. With this formulation, which is expressed in the wavenumber-frequency domain, different unevenness can be introduced on the two rails. The model is an extension of the one developed by Sheng et al. [5] and can include the effects of the moving loads and predict the vibration level at a fixed point in the free field.

3.1 Model description

As in [5], the railway track considered in this paper is a straight ballasted or slab track at the surface of a layered elastic half-space, as shown in Figure 4(a). Linear dynamic behaviour is assumed throughout. The track is considered to be invariant in the longitudinal (x) direction and is modelled as multiple beams supported by vertical springs with consistent mass. The model is formulated in the wavenumber-frequency domain where the vibration predictions are based on the transfer function matrices for the ground formulated in [5] in a frame of reference moving with each load with speed v. In the current work only the vertical dynamics are considered and thus scalar expressions of the vertical response due to vertical loading are presented. The model has been extended to take into account the traction variation across the track-ground interface in a similar formulation with the one presented in [15] while the track can include the excitation and response of both rails separately.

In the longitudinal direction, the two rails are represented as Euler-Bernoulli beams with mass and bending stiffness m_r and $E_r I_r$ per unit length of track respectively. The rail pads are modelled as distributed vertical stiffness k_p per unit length for each rail (see Figure 5(a) and 6). The sleepers are modelled as a continuous mass per unit length of the track m_s , i.e. ne-

glecting bending, and the ballast is modelled as continuous distributed vertical spring stiffness k_b with consistent mass m_b . An embankment, if present, can be modelled in the same way as the ballast. A similar model can be used for the case of a slab track by introducing an infinite Euler-Bernoulli beam with constant bending stiffness representing the slab and replacing the sleepers.

The track exerts a distributed load on the half-space. To represent this, a contact force per unit length \mathbf{F}_{g} between track and soil is introduced. In order to allow for an arbitrary load distribution across the width of the track, the interface conditions are discretised. The discretisation will introduce a finite sum of the normal tractions at the track/ground interface. The principle is shown in Figure 5(b).

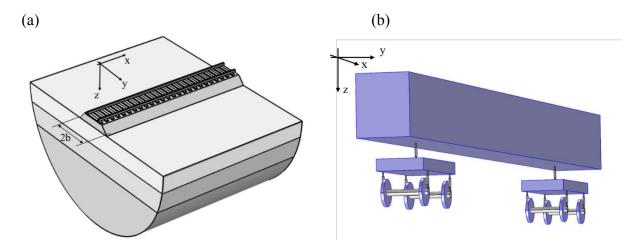


Figure 4: (a) The geometry of the track ground system; (b) three-dimensional multiple rigid-body vehicle system.

It should be noted that, in the present work, the wheel irregularities are neglected, so that all irregularities are assumed to be on the rail surface. Nonetheless, it has been shown in [16] that although the typical wheel irregularities are of a similar order of magnitude to the rail irregularities at short wavelengths (below 0.1 m; "acoustic roughness"), the magnitude of wheel irregularities is very much smaller than those of the rail at longer wavelengths and thus can be neglected for ground-borne vibration generation. This smooth-wheel assumption is a useful starting point for a more realistic input excitation in comparing the effectiveness of various ground-borne vibration prediction models.

For ground-borne vibration, where the frequency range of interest is usually between 2 and 250 Hz, the train is modelled as a linear multiple rigid-body system (see Figure 4(b)). In practice, due to the vehicle suspension, at frequencies above 10 Hz usually only the unsprung mass of the wheelsets is important. Consequently it is acceptable to neglect flexible modes of the car body. Only vertical interaction forces are considered and only the vertical dynamics of the sprung masses of the train are included. Since, as shown in Section 2, non-symmetric loading at the wheel/rail contact points occurs at relatively short wavelengths (high frequencies; usually above 10 Hz) the rolling modes of the car body and the bogie are not excited and thus each car body and bogie therefore can be further simplified by having only two degrees of freedom (DOFs), i.e., the vertical displacement of its mass centre and its pitch motion. Similarly, each wheel has only one DOF, i.e. its vertical displacement. In general, each train can have N_w wheels, N_b bogies and N_c car bodies resulting in $N = N_w + 2N_b + 2N_c$ DOFs. When both wheels of each wheelset (and both rails) are considered separately $N_w = 2M$,

whereas for symmetric excitation the motion of each wheel and rail can be reduced to a single DOF and $N_w = M$. The coupling between vehicles is neglected.

In practice, the vehicle suspensions may have non-linear behaviour. However, to enable analysis in the frequency domain each non-linear suspension is linearized, which is valid for small motion amplitudes. Viscous or viscoelastic damping can be introduced and included in the stiffness matrix, thus the elements of the stiffness matrix are complex and may be frequency dependent. The mass and stiffness matrices of several typical vehicles can be found in [17].

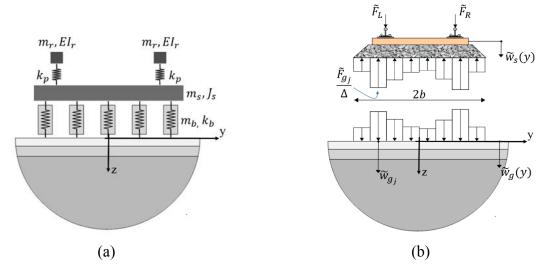


Figure 5: (a) Lateral view of multibody model of the track; (b) discretization of the stress field across the track-ground interface.

Assuming that the wheel is always in contact with the rail, a linearized Hertzian contact spring with stiffness $k_{H,lp}$ can be inserted between the l-th wheelset and the p referring to the left (p=L) or right (p=R) rail, although for the frequency range of ground-borne vibration, inclusion of the contact spring does not influence the total response significantly. The wheel/rail coupling is illustrated in Figure 6 for a model of a ballasted track, where $\widetilde{w}_{w,lp}(\omega)$ denotes the displacement of the wheel and $\widetilde{r}_{lp}(\omega)$ denotes the complex amplitude of the vertical profile of the p rail (rail irregularity) at the respective contact point. The vertical profile of the rail may be decomposed into a spectrum of discrete harmonic components. A single harmonic component is denoted by $r(x) = Ae^{i(2\pi/\lambda)x}$ where λ denotes the wavelength and λ the amplitude which may be complex (strictly the profile of the rail is the real part of r(x)). The relation between the angular frequency of the dynamic loading and the wavelength of the rail irregularity is $\omega = 2\pi v/\lambda$, where v is the velocity of the train.

If the wheels on the **p** rail are all excited by the same unevenness then \vec{r}_{lp} is the same for each l except for a time lag:

$$r_{lp}(t) = A_p e^{i(2\pi/\lambda)(a_l + vt)} = A_p e^{i(2\pi/\lambda)a_l} e^{i(v/\lambda)t}$$
(2)

and thus the complex amplitude of the vertical profile is given as

$$\tilde{r}_{lv}(\omega) = A_v e^{i(2\pi/\lambda)a_l} = A_v e^{i(\omega/v)a_l}$$
(3)

If both rails and both wheels of each wheelset (p = L, R) are considered separately, the coupling at the (l, p) contact point is expressed by the relation

$$\widetilde{w}_{w,lp}(\omega) = \widetilde{w}_{r,lp}(\omega) + \widetilde{r}_{lp}(\omega) + \widetilde{P}_{l,p}(\omega)/k_{H,lp}$$
 (4)

where $\widetilde{w}_{w,lp}(\omega)$ is the complex receptance of the (l,p) wheel, $\widetilde{w}_{r,lp}(\omega)$ is the is the complex receptance of the (l,p) rail and $\widetilde{P}_{l,p}(\omega)$ is the wheel-rail contact force. Inserting these two equations into Eq. (4) yields

$$[\mathbf{R}_{w}(\omega) + \mathbf{R}_{r}(\omega) + \mathbf{R}_{H}]\widetilde{\mathbf{P}}(\omega) = -\widetilde{\mathbf{r}}(\omega)$$
(5)

where $\mathbf{R}_w(\omega)$ is the $N_w \times N_w$ complex receptance matrix at the wheels, $\mathbf{R}_r(\omega)$ is the rail receptance matrix of the track-ground system at the wheel-rail contact points in a moving frame of reference, $\tilde{r}(\omega)$ is the vector that collects the vertical rail irregularity at all contact points (l,p) and $\mathbf{R}_H = \operatorname{diag}\{\frac{1}{k_{H,lp}}\}$ with (l=1,2,...,M;p=L,R) is the matrix of contact spring receptances. The vector $\tilde{P}(\omega)$ collects the wheel-rail forces at each contact point.

Eq. (5) is a set of linear algebraic equations with the dynamic wheel-rail forces $\tilde{P}(\omega)$ as unknowns. Its solution will be used as an input for the coupled track-soil model to give the response of the rail (Eq. (6)) and of the ground in the free field (Eq. (7)).

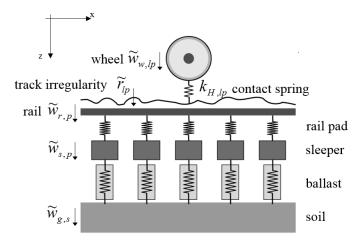


Figure 6: Coupling of a wheel with the track (rail, pad, sleeper, ballast) and the ground.

3.2 Response spectrum due to track unevenness

In [17], by treating the rail unevenness as a random process, the formulation of the power spectrum of the ground surface response due to the rail irregularity is given for a point that is stationary as the train moves past it. In this approach the motion of the train is included, which means that the response at a given frequency is a combination of responses induced by different excitation frequencies due to the Doppler effect in the ground. The expressions given in [17] are extended here to include the unevenness of both rails separately as well as the correlation between the two.

The vertical displacement spectrum [5] of a point (x, y) on the ground surface due to a unit amplitude rail irregularity of wavelength λ , $w(x) = e^{i(2\pi/\lambda)x}$ on the s-th rail, is denoted by $S_s^0(x,y,f;\omega)$; where f is the frequency at which the spectrum is evaluated and ω is the excitation angular frequency determined by $\omega = 2\pi v/\lambda$.

At time t, the l-th wheelset is at $x = a_l + vt$, and the displacement input at the (l, p)-th wheel-rail contact point is given by Eq. (2). Thus, the vector of dynamic wheel-rail forces $\tilde{P}(\omega)$ in Eq. (5) due to the unit amplitude rail irregularity at all contact points $\tilde{r}_{lp}(\omega)$ can be calculated using Eq. (3) with $A_p = 1$. The elements of the receptance matrix $R_p(\omega)$ in Eq. (5) are calculated using the model by applying a single unit amplitude load $P_{l,p}(\omega) = 1$. Subse-

quently, $S_s^0(x,y,f;\omega)$ is obtained using the vector of forces $\tilde{P}(\omega)$ that is calculated due to the unit amplitude rail irregularity.

By assuming that a complete vertical rail profile can be represented by a large number of discrete wavenumber components k_n and that each harmonic component of the rail vertical profile is independent of the others, the double-sided power spectrum of the displacement at a fixed observation point in the free field can be calculated by

$$P_{g}(y,f) = 2|S(y,f;0)|^{2} + \frac{1}{2\pi} \sum_{n=1}^{M} [S^{0}(y,f;\omega_{n})^{T} \mathbf{P}_{in}(k_{n}) S^{0}(y,f;\omega_{n})^{*} + S^{0}(y,f;-\omega_{n})^{T} \mathbf{P}_{in}(k_{n}) S^{0}(y,f;-\omega_{n})^{*}] \Delta k_{n}$$
(6)

In the above expression the x coordinate is omitted because for a fixed receiver the response spectrum is independent of the value of x as shown in [5]. The term $2|S(y, f; 0)|^2$ is the power spectrum generated by the quasi-static loads and is given using half the axle loads as the loads $\tilde{P}_{LL}(0)$ and $\tilde{P}_{LR}(0)$ for all M wheelsets. The term

$$\mathbf{S}^{0}(y, f; \omega_{n}) = \begin{cases} S_{L}^{0}(y, f; \omega_{n}) \\ S_{R}^{0}(y, f; \omega_{n}) \end{cases}$$
(7)

is a vector that collects the vertical displacement spectrum on the ground surface due to a unit amplitude irregularity of the left (L) and right (R) rail of wavelength $\lambda_n = 2\pi v/\omega_n$. Consequently, because of the symmetry about the track centerline $S_R^0(y_i f; \omega_n) = S_L^0(-y_i f; \omega_n)$.

In Eq. (6) the matrix

$$\mathbf{P}_{\text{in}}(k_n) = \begin{bmatrix} \mathbf{P}_{\text{LL}}(k_n) & \mathbf{P}_{\text{LR}}(k_n) \\ \mathbf{P}_{\text{RL}}(k_n) & \mathbf{P}_{\text{RR}}(k_n) \end{bmatrix}$$
(8)

is the PSD matrix of the track vertical profile consisting of the auto-PSD (diagonal) and cross-PSD (non-diagonal) functions of the vertical profiles of the two rails where $k_n = n\Delta k$ and Δk denotes the spacing of the discrete wavenumbers. When the rail unevenness profiles of the two rails are considered to be fully correlated (the rails have the same vertical profile) all four elements of $\mathbf{P_{in}}$ are equal for each wavenumber. When the unevenness of the two rails is considered uncorrelated then the cross-PSD elements are zero.

The velocity power spectrum $\dot{P}_g(x, y, f)$, is then given by

$$\dot{P}_{g}(x, y, f) = (2\pi f)^{2} P_{g}(x, y, f)$$
(9)

When divided by the time taken for the whole train to pass a fixed point, Eq. (6) and Eq. (9) give an estimate of the power spectrum of vibration displacement and velocity respectively at point (x, y) on the ground surface during the stationary part of the response [17].

4 TRAIN PASS-BY RESPONSE PREDICTIONS

In this section, the model introduced in Section 3 is applied to investigate the effects of different loading conditions at the track and different interface conditions between the track and the ground. In particular, results are compared between the response that is calculated by assuming a fully correlated and in-phase unevenness applied on both rails and the response obtained by assuming that the unevenness on the two rails is uncorrelated. The results of those two assumptions are compared with the case in which the actual rail unevenness as measured by a TRC is used as input for the model.

The response is calculated for the full pass-by of a train at 250 km/h (69.44 m/s). The train is modelled as a number of linear multibody systems that represent the vehicles as shown in

Figure 7. When the loading due to the rail unevenness is applied separately on each rail and the two rails have different responses, the rotational properties of the track and wheelset are included by considering the moment of inertia of the sleeper I_x and the wheelset I_w around the x axis.

The track used for the simulations is a ballasted track largely based on the track used in [18]. The width of the track/ground interaction strip is **2**b=3.2 m and the corresponding track parameters are listed in Table 2. Two different types of layered ground are considered with the properties listed in Table 3. The two layered half-space ground models have been used in [10] and although not based on actual sites, they are chosen as typical examples of what is likely to occur in practice. Both have a softer layer of depth 3 m overlying a stiffer half-space, the half-space being the same in the two cases for convenience.

	Bending stiffness per rail	$E_y I_y = 6.4 \mathrm{MN} \mathrm{m}^2$	
Rail	2 1		
	Mass per unit length per rail	$m_r = 60 \text{ kg/m}$	
	Damping loss factor	$\eta_r = 0.01$	
	Track gauge	$b_r = 1.435 \text{ m}$	
Rail pad	Stiffness per rail per unit length	$k_p = 500 \text{ MN/m}^2$	
	Damping loss factor	$\eta_p = 0.1$	
Sleeper	Mass per unit length	$m_s = 542 \text{ kg/m}$	
	Sleeper spacing	$\alpha = 0.6 \text{ m}$	
	Pitching moment of inertia around *	$J_s = 307 \text{ kg m}$	
	per unit length		
Ballast	Mass per unit length	$m_b = 1740 \text{ kg/m}$	
	Stiffness per unit length	$k_b = 4640 \text{ MN/m}^2$	
	Damping loss factor	$\eta_b = 0.04$	
	Ballast/ground interaction width	$2b = 3.2 \mathrm{m}$	

Table 2: Parameters used to represent the track

The train parameters used correspond to those used in [19]. Unlike [19] a four-car train consisting of identical vehicles is used with a total length of 106.4 m. The parameters are listed in Table 4, see also Figure 7. The values of the dynamic stiffness due to viscous damping for primary and secondary suspensions are $k_p = k_p' + i\omega c_p$ and $k_s = k_s' + i\omega c_s$ respectively.

Parameters of ground	Ground 1 (soft ground)		Ground 2 (stiff ground)	
	Upper layer	Half-space	Upper layer	Half-space
P-wave speed (m/s)	240	700	500	700
S-wave speed (m/s)	120	350	250	350
Density (kg/m ²)	1800	2000	1800	2000
Young's Modulus (MPa)	69.12	653.3	300.0	653.3
Shear Modulus (MPa)	25.92	245.0	112.5	245.0
Poisson's ratio	0.333	0.333	0.333	0.333
Damping loss factor	0.1	0.1	0.1	0.1
Layer depth (m)	3.0	Infinite	3.0	Infinite

Table 3. The parameters used for different types of ground.

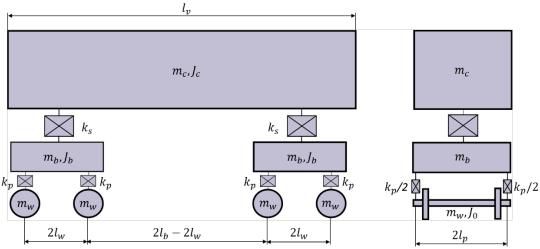


Figure 7: A vehicle model with primary and secondary suspensions.

	Mass	$m_c = 40000 \text{ kg}$
Car body	Pitching moment of inertia	$I_c = 2 \cdot 10^6 \text{ kg} \cdot \text{m}^2$
	Overall vehicle length	$l_v = 26.6 \text{ m}$
	Mass	$m_b = 5000 \text{ kg}$
Bogie	Pitching moment of inertia	$l_b = 6000 \text{ kg} \cdot \text{m}^2$
	Half distance between bogie centres	$l_b = 9.5 \text{ m}$
Wheelset	Mass	$m_{\rm W} = 1800 { m ~kg}$
	Roll moment of inertia	$I_{w} = 600 \text{ kg} \cdot \text{m}^{2}$
	Half distance between axles	$l_{\rm w} = 1.35~{\rm m}$
	Contact stiffness (per wheel)	$k_{\rm H} = 1.46 {\rm GN/m}$
	Total axle load	P = 140.1 kN
Primary suspension	Vertical stiffness per axle	$k_{v}^{'} = 2.4 \text{MN/m}$
	Vertical viscous damping per axle	$c_v = 30 \text{ kN} \cdot s/\text{m}$
	Lateral half distance between springs	$l_v = 0.8 \text{m}$
Secondary suspension	Vertical stiffness per bogie	$k_g = 0.6 \mathrm{MN/m}$
	Vertical viscous damping per bogie	$c_s = 20 \text{ kN} \cdot s/\text{m}$

Table 4. Vehicle parameters used [20].

4.1 Track and wheel receptances

Prior to showing response predictions it is instructive to consider the receptances of the wheel and track that are combined with the unevenness to give the interaction forces. Figure 8 shows the magnitude and the phase of the track receptance in a moving frame of reference at the speed of 250 km/h. Corresponding results for the wheel receptance are also shown. In each case, the track is coupled to the ground via $N_s = 8$ strips across the whole width of the track (see Figure 5(b)). The results in Figures 8(a) and 8(b) correspond to a symmetrical loading case where identical loads of amplitude 0.5 N are applied to both rails (and both wheels). This results in only translational motion of the sleeper and thus the two rails have identical response. For this case the track model can be simplified to a single combined rail/railpad with the sleepers represented only by their mass. For comparison, results are given in Figures 8(c) and 8(d) in which a unit load is applied to one of the two rails. The sleeper and the wheelset are now free to rotate. The receptance shown corresponds to the rail (and wheel) that are excited.

Although the two layered grounds show different track receptances (by up to 12 dB) at lower frequencies, above 30 Hz the track stiffness dominates the response and the receptance values have the same level of magnitude. Comparing the results in Figures 8(a) and 8(c) the track receptances are similar at low frequencies but above approximately 40 Hz the receptance of the single rail becomes larger than that when both rails are excited, with the difference increasing to about 5 dB by 100 Hz. At frequencies where the beam response dominates, the difference between a single rail and composite beam representing two rails is expected to be 6 dB.

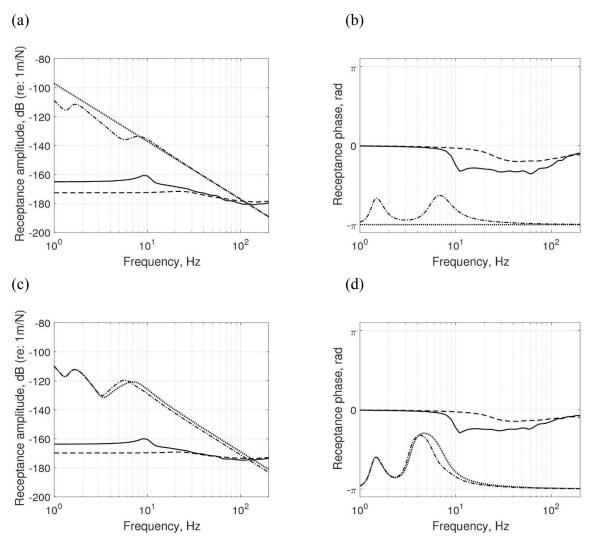


Figure 8. Track point receptance magnitude and phase: (-) on soft soil; (---) on stiff soil and wheel point receptance magnitude and phase: (a) - (b): track and wheelset excited symmetrically (load of 0.5 N on both rails/wheels), (----) full vehicle; (---) unsprung mass only. (c) - (d) excitation on one rail and wheel, (----) $\mathbf{I}_{\mathbf{w}} = \mathbf{m}_{\mathbf{w}} \mathbf{b}_{\mathbf{r}}^2 \mathbf{A}$; (----) $\mathbf{I}_{\mathbf{w}} = \mathbf{600} \, \mathbf{kg} \cdot \mathbf{m}^2$.

The wheel receptance magnitude and phase are also shown for comparison in Figure 8. In the results shown in Figures 8(a) - (b), only the vertical dynamics of the wheelsets are taken into account. Due to the symmetry of the loading, both wheels have the same displacement and no rotation of the wheelset is included, resulting in a vehicle model with 10 DOFs. For comparison, in Figures 8(a) - (b), a second model is shown consisting only of the unsprung masses; thus the whole vehicle is modelled with only four independent DOFs. In the latter

case the wheel receptance matrix \mathbf{R}_{w} in Eq. (5) is a diagonal matrix with elements $\mathbf{r}_{bb}^{w}(\omega) = -1/(m_{w}\omega^{2})$.

The wheel receptance values shown in Figures 8(c) - (d) are from the same model when the rotation of the wheelsets is taken into account, resulting in a vehicle model with 14 DOFs. Two cases are shown. In the first, the value of the roll moment of inertia of the wheelset is selected to be $I_w = \frac{1}{4} m_w b_r^2 = 927 \text{ kg} \cdot \text{m}^2$, where m_w is the mass of the wheelset (see Table 4) and b_r is the track half-gauge (see Table 2). For this value of the moment of inertia, if the free wheelset is excited at one wheel the other wheel has no motion. Nevertheless, due to connection via the suspension to the bogie, which is constrained not to roll, there is still some coupling between the two sides. The second case in Figures 9(c) - (d), has a smaller moment of inertia $I_w = 600 \text{ kg} \cdot \text{m}^2$ as given in Table 4.

In Figure 8(a), as expected, the results for the 10 DOF model are identical to those for the unsprung mass above 10 Hz whereas at lower frequencies the effects of the vehicle bouncing and pitching resonances can be seen. This resonant behaviour is modified by inclusion of the roll motion of the wheelset as seen in Figure 8(c). For low frequencies up to 2 Hz the results are unaffected but in the frequency range 2 Hz to 10 Hz the receptances from the various models show differences due to the influence of the inertia of the wheelsets and the interaction with the vehicle's sprung mass through the primary suspension. For frequencies above 10 Hz, the wheel receptance of the two 14 DOF models is 6 dB or 8 dB higher than the 10 DOF model due to the added flexibility introduced by the roll motion of the wheelsets. As expected, the model with the lower roll moment of inertia has a 2 dB higher receptance for all frequencies above 10 Hz.

For symmetric loading, as in Figure 8(a), the frequency at which the receptances of the wheelset and the track have equal magnitude is referred to as the unsprung-mass-on-track-stiffness resonance [1]. At this resonance frequency the wheelset and the rails are moving vertically and in-phase on the track stiffness and the interaction force has a maximum. This resonance frequency can be identified in Figure 8(a) at around 120 Hz for the soft ground and slightly lower at 108 Hz for the stiff ground. In Figure 8(c), the corresponding frequency where the receptances of the wheel and the track on soft ground are equal occurs at around 120 Hz for the case of the vehicle with $I_w = 927 \text{ kg} \cdot \text{m}^2$ and around 138 Hz for the case of the vehicle with $I_w = 600 \text{ kg} \cdot \text{m}^2$. For the stiff ground the corresponding frequencies are 115 Hz and 130 Hz respectively.

4.2 Ground vibration due to the passage of the train

The unevenness PSD that is used as an input for all train pass-by simulations in this section is from the ballasted track reported in [13] and shown in Figure 9. Both rail profiles were acquired with a track recording coach that measured the loaded profile of the track. Figure 9 shows the auto-PSD of the unevenness of the two rails; that is the diagonal elements of matrix P_{in} in Eq. (8). Analysis parameters are listed in Table 1. The values are given with respect to wavenumber and to frequency for train speed of 250 km/h. The corresponding spectra of rail unevenness expressed in one-third octave band form are given in Figure 1(a).

For completeness, Figure 10(a) shows the ratios of the cross-PSD to the auto-PSDs of the unevenness of the two rails; that is the ratio of the off-diagonal elements of matrix P_{in} in Eq. (8) to the diagonal elements. Figure 10(b) shows the relative (cross-PSD) phase between the left and right rail profiles. The values are given with respect to wavenumber and to frequency for train speed of 250 km/h. It can be seen in Figure 10 that at wavelengths longer than 4 m (or at frequencies lower than 20 Hz) the ratios of the PSDs is close to 1 and the phase is close to zero, indicating that there is strong correlation between the two rails.

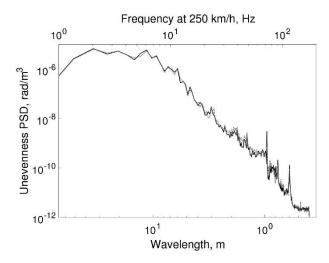


Figure 9: Track unevenness PSD obtained from Steventon site [13] of (—) the left rail and (***) the right rail.

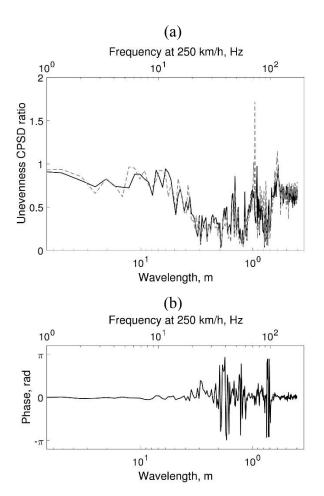


Figure 10: Track unevenness obtained from Steventon site [13]: (a) cross-PSD over auto-PSD ratio of (—) the left rail and (****) the right rail; (b) relative phase between the rails unevenness

This unevenness spectrum is introduced into the model, first assuming symmetric loading of the two rails based on the average spectrum of the two rails shown in Figure 9. The predicted contact force spectrum of the leading and the trailing wheelsets of the first bogie due to

excitation by track unevenness is shown in one-third octave form in Figure 11 for the two ground types. These are shown as the force per wheel. At low frequency, where the vehicle receptance is much greater than that of the track (see Figure 8(a)), the contact force is independent of the track receptance and thus the results for the two grounds are identical. At higher frequency this no longer holds. Here, the vehicle receptance is mass-controlled while the track receptance is stiffness-controlled. As noted in Figure 8(a), these have equal magnitude at around 110 - 120 Hz, which is identified as the coupled vehicle-track resonance and corresponds to a peak in the contact force. The results for the trailing wheelset can be seen to be higher than for the leading wheelset between 2.5 and 8 Hz.

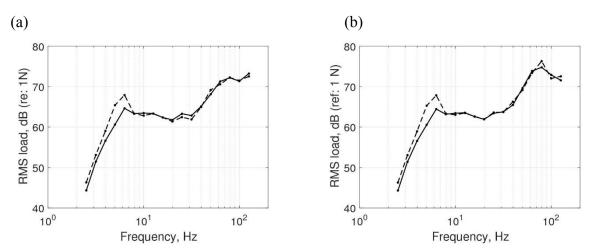


Figure 11. Dynamic wheel/rail contact force (per wheel) in one-third octave bands for the (a) soft ground and (b) the stiff ground: (-) leading wheelset and (- -) trailing wheelset of the leading bogie.

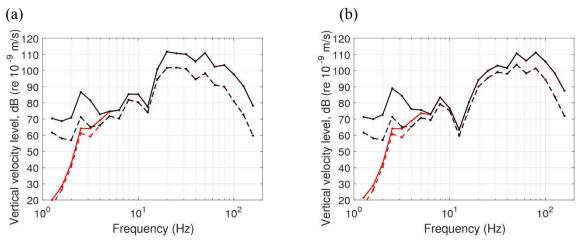


Figure 12. Total (black lines) and dynamic (red lines) ground response level in one-third octave bands of (a) soft soil and (b) stiff soil: (-) 8 m; (--) 16 m from the track for fully correlated inputs at the two rails.

The vertical vibration velocity level at the ground surface due to the passage of the train is shown in Figure 12. The results are expressed as the average one-third octave band spectrum at certain distances from the track centreline during the train pass-by. The vibration due to both the moving quasi-static load and the dynamic load is calculated; the graphs show the total vibration level and that due to the dynamic excitation. Results are shown at 8 m and 16 m from the track. The response is calculated using symmetric loading. For this case the unevenness profile is identical for the two rails and thus it can be considered as fully correlated in-

puts. The results are based on the case where the track/ground interface is split into $N_s = 8$ strips (Figure 5(b)).

Comparing the total vibration response for both ground types with the dynamic vibration response in Figure 12, it can be seen that the dynamic component dominates the response at frequencies above 4 Hz. Below 4 Hz, where the quasi-static response is significant, the response is similar for the two ground types. Above 4 Hz the responses calculated for the two ground types start to differ, with the soft ground showing higher levels of vibration, and this difference is more prominent at 6 Hz and above. This shows that the mobilities are equal for the two ground types at low frequencies, where the transmission is dominated by the underlying half-space. Above 6 Hz the soft ground shows higher vibration levels (up to 20 dB at 16 Hz, close to the cut-on frequency of the soft ground). At higher frequency, above 50 Hz for 8 m and 35 Hz for 16 m, the soft ground shows lower vibration levels due to a greater influence of damping since the wavelengths in the soil are shorter.

4.3 Comparison for different level of unevenness correlation between the rails

The difference in model predictions is shown between the nominal fully correlated (symmetric) model and the models in which: (i) the rail unevenness is considered to be uncorrelated on the two rails and (ii) the rail unevenness is considered to be partially correlated as measured. In both these cases, the track is modelled using both rails with the sleeper and wheelsets allowed to rotate around the axial direction. In each case results are shown for the two values of wheelset roll moment of inertia $I_w = 927 \text{ kg} \cdot \text{m}^2$ and $I_w = 600 \text{ kg} \cdot \text{m}^2$ as discussed in Section 4.1. The same unevenness spectrum shown in Figure 10 is used in each case.

In Figure 13, the difference in force spectra is shown. Since the measured rail unevenness is different between the two rails, the level difference shown in Figure 13 is calculated using the average force spectrum predicted for the two contact points of the leading wheelset. Nevertheless, the difference in the contact force between the two wheels of each axle is small

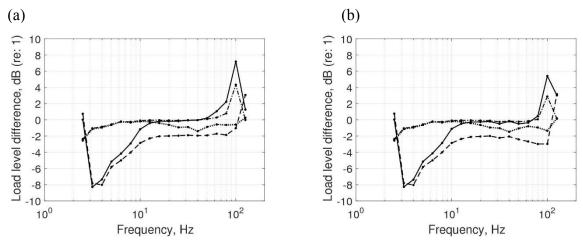


Figure 13: One-third octave spectra level difference of wheel/rail contact force of the leading axle for (a) the soft ground and (b) the stiff ground. Differences are shown between the nominal model and models with (-) uncorrelated unevenness and $I_w = 927 \text{ kg} \cdot \text{m}^2$; (- - -) uncorrelated unevenness and $I_w = 600 \text{ kg} \cdot \text{m}^2$; ($- \cdot - \cdot$) partially correlated unevenness and $I_w = 927 \text{ kg} \cdot \text{m}^2$ and ($\cdot \cdot \cdot \cdot$) partially correlated unevenness and $I_w = 600 \text{ kg} \cdot \text{m}^2$.

In Figure 13 the models with uncorrelated unevenness show much lower force levels in the frequency range 3 - 12 Hz, with a maximum difference of 8 dB at 3 Hz. These large differences are due to the rotational motion of the wheelsets, as seen in the wheel mobilities in Fig-

ure 8. However, these differences should not affect the predicted total ground response since at the lower frequencies this is dominated by the quasi-static excitation. In the frequency bands 12.5 to 50 Hz the model with $I_w = 927 \text{ kg} \cdot \text{m}^2$ shows similar force level to the fully correlated model. Above 50 Hz this model starts to show higher contact forces, with a maximum at 100 Hz due to the resonance of the wheelset rotation on the track stiffness. The model with $I_w = 600 \text{ kg} \cdot \text{m}^2$ shows a similar trend but it is about 2 dB lower the peak occurs at a higher frequency.

The models with partially correlated unevenness according to the measurements show similar force levels with the fully correlated model for frequencies below 50 Hz. Above 50 Hz for the model with $I_w = 927 \text{ kg} \cdot \text{m}^2$ (and 80 Hz for the model with $I_w = 600 \text{ kg} \cdot \text{m}^2$) the force shows similar trends with the uncorrelated cases.

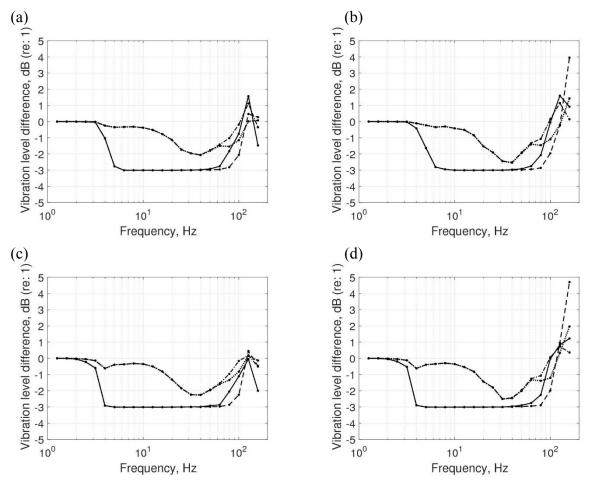


Figure 14. Ground vibration level difference in one-third octave bands (a) at 8 m from the track for soft ground, (b) at 8 m from the track for stiff ground, (c) at 16 m from the track for soft ground and (d) at 16 m from the track for stiff ground. Differences are shown between the nominal model and (a) (—) uncorrelated unevenness and $I_w = 927 \text{ kg} \cdot \text{m}^2$; (— — —) uncorrelated unevenness and $I_w = 600 \text{ kg} \cdot \text{m}^2$; (— · · ·) partially correlated unevenness and $I_w = 927 \text{ kg} \cdot \text{m}^2$ and (·····) partially correlated unevenness and $I_w = 600 \text{ kg} \cdot \text{m}^2$.

Figure 14 shows the effect of the different model assumptions described above on the predicted vertical velocity levels. The results are shown as the level difference in dB relative to the total ground response shown in Figure 12. In each case, results are shown for both the soft and the stiff ground at 8 m and 16 m from the track. Similar to the contact force results shown

in Figure 13, in both these cases, the track is modelled using both rails with the sleeper and wheelsets allowed to rotate around axial direction. For consistency, in both models the track/ground coupling is based on $N_s = 8$ strips. Results are given for two values of the wheelset roll moment of inertia, as discussed in Section 4.1.

Below 4 Hz the response is dominated by the quasi-static excitation so the correlation of the dynamic loads does not affect the response.

For the cases where uncorrelated excitation is assumed on the two rails, above 4 Hz and up to 63 Hz for the model with $I_w = 927 \text{ kg} \cdot \text{m}^2$ (and 80 Hz for the model with $I_w = 600 \text{ kg} \cdot \text{m}^2$) the response predicted in one-third octave bands is a constant value of 3 dB lower than the case of the symmetric (fully correlated) loading. This difference is to be expected if one considers that the PSD matrix P_{in} in Eq. (8) for the fully correlated case consists of non-zero and equal elements while for the uncorrelated case the non-diagonal elements are zero. Accordingly, the total power spectrum $P_g(y, f)$ in Eq. (6) for the fully correlated case should have double magnitude than for the uncorrelated inputs case. This ratio of 2 in the predicted power spectrum corresponds to a difference of 3 dB in the one-third octave band vibration levels.

For the cases with correlated but not symmetric unevenness the predictions show that the response has similar levels with the fully correlated case for frequencies below 20 Hz which for train speed of 250 km/h correspond to excitation due to unevenness wavelengths that are longer than about 4 m (see Figure 10). Above 20 Hz the vibration levels decrease and tend to the -3 dB value of the uncorrelated case. These results are consistent with the cross-PSD ratio magnitude given in Figure 10(a) and show that the weaker correlation between the rail unevenness of the two rails that appears for wavelengths longer than about 4 m leads to lower vibration levels in the free field that can be up to 3 dB.

At high frequency the response increases notably for all the non-symmetric loading cases. Similarly with the increase in the force level shown in Figure 13, the increase in the ground vibration can be attributed to the effect that the additional rotational modes of the wheelset on the track stiffness are excited. For symmetric loading these rotational modes of the wheelset are not excited and thus the predicted ground response is lower than when the rail unevenness on the two rails is treated as uncorrelated. Similar results are shown when a different value of wheelset moment of inertia is used, the main differences being above 80 Hz

5 CONCLUSIONS

- A model has been established for the vibration due to surface railways that operates in the wavenumber-frequency domain and takes into account the traction variation across the track-ground interface and includes rotation of the sleepers and wheelsets about the axial direction. This allows investigations of the effect of the level of correlation between the unevenness on the two rails.
- The extent to which the unevenness of the two rails is correlated has been estimated from measurements of track geometry obtained with track recording vehicles for six tracks of different types and operational standards. The results showed that for unevenness wavelengths longer than about 3 m the unevenness of the two rails can be considered to be strongly correlated (and in phase). At shorter wavelengths the unevenness of the two rails should be treated as uncorrelated inputs.
- Train pass-by simulations from the developed model showed that when the unevenness of the two rails is treated as uncorrelated the dynamic component of the ground response

is 3 dB lower than when the unevenness is assumed identical on the two rails for frequencies up to 50 Hz. Moreover, when the unevenness of the two rails is not symmetrical the rotational modes of the wheelset on the track stiffness are excited and the predicted response level of the vibration in the free field can be notably greater in the frequency bands around 100 Hz than when the unevenness is assumed identical on the two rails.

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